

Docket No.

110768-00102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Albert L. DONALDSON

SERIAL NO: 09/548,322

GAU:

2141

FILED: April 12, 2000

EXAMINER:

Jason Cardone

FOR: METHOD AND APPARATUS FOR FILTERING JUNK ELECTRONIC MESSAGES HAVING A  
WHITELIST DATABASE AND A QUARANTINING MECHANISM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS

P.O. BOX 1450

ALEXANDRIA, VA. 22313-1450

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MAR 19 2004

SIR:

Applicant(s) wish to disclose the following information.

Technology Center 2100

REFERENCES

☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references. Copies of references cited are attached.

☒ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☐ Attached is a copy of applicant's pending application(s) or issued patent(s) which may be related to the present application. These documents are listed on form PTO-1449, also attached.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

☐ This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application.

☐ This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

PETITION

☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 23-2185. A duplicate copy of this sheet is enclosed.

03/17/2004 HALI11 00000071 09548322

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180.00 DP

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PATENT TRADEMARK OFFICE

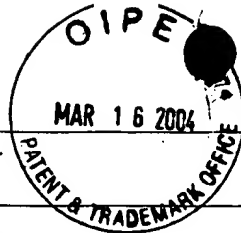
Respectfully Submitted,

BLANK ROME LLP

Peter S. Weissman  
Attorney of Record  
Registration No. 40,220

Date: March 16, 2004

08/01



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 110768-00102		SERIAL NO. 09/548,322	
<b>LIST OF REFERENCES CITED BY APPLICANT</b>				APPLICANT Albert L. DONALDSON			
				FILING DATE: April 12, 2000		GROUP 2141	
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOC. NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,421,709	07-2002	McCormick et al.			
	AB	6,249,805	06-2001	Fleming, III			
	AC	6,161,130	12-2000	Horivitz et al.			
	AD	6,487,586	11-2002	Ogilvie et al.			
	AE	6,199,103	03-2001	Sakaguchi et al			
	AF	6,393,465	05-2002	Leeds			
	AG	6,052,709	04-2000	Paul			
	AH	5,889,943	03-1999	Ji et al.			
	AI	5,619,648	04-1977	Canale et al.			
	AJ	6,460,050	10-2002	Pace et al.			
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOC. NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO				<input type="checkbox"/>	<input type="checkbox"/>	
	AP				<input type="checkbox"/>	<input type="checkbox"/>	
	AQ				<input type="checkbox"/>	<input type="checkbox"/>	
	AR				<input type="checkbox"/>	<input type="checkbox"/>	
	AS				<input type="checkbox"/>	<input type="checkbox"/>	
	AT				<input type="checkbox"/>	<input type="checkbox"/>	
	AU				<input type="checkbox"/>	<input type="checkbox"/>	
	AV				<input type="checkbox"/>	<input type="checkbox"/>	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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